

STRATEGIC CORRUPTION CONTROL AND ORGANIZATIONAL INTEGRITY

- A course in strategic implementation -

Central European University – Budapest, Hungary
July 4-15, 2005

READING MATERIALS

1. INTRODUCTION AND THE ECONOMICS OF CORRUPTION AND ANTI-CORRUPTION

Mapping the global integrity movement - *Fredrik Galtung*

Macroeconomics and Microeconomics Approaches - *Jean Cartier-Bresson*

- Cartier-Bresson, Jean. Perspective Paper 6.2. In: Bjorn Lomborg (ed): ***Global Crises, Global Solutions***. Cambridge University Press.

RECOMMENDED READINGS:

- Cartier-Bresson, Jean. [Corruption and Anti-competitive Practices: A Case Study on the Construction of the French High Speed Train and an analysis of the French Antitrust Agency Trial](#). In: D. Della Porta and S. Rose-Ackerman (eds.): *Corrupt Exchanges: Empirical Themes in Politics and Political Economy*. Nomos, 2002.
 - Cartier-Bresson, Jean. [Economic Analyses of the Causes and Consequences of Corruption: Some Lessons](#). Chapter 1. OECD.
 - Cartier-Bresson, Jean. [Comments on George T. Abed](#), Sanjeev Gupta (eds.): *Governance, Corruption and Economic Performance*. Washington, D.C.: International Monetary Fund, 2002.
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2. THE NATIONAL INTEGRITY SYSTEMS APPROACH

Overview and Strategic Implementation - *Jeremy Pope*

- Jeremy Pope. [Introducing the National Integrity System](#) (Chapter 4 from *Confronting Corruption: The Elements of a National Integrity System*, Transparency International, London and Berlin, 2000)

(the complete sourcebook is available at <http://www.transparency.org/sourcebook/>)

- Langseth, Petter, Rick Staphenurst, and Jeremy Pope. [The Role of a National Integrity System in Fighting Corruption](#) (World Bank EDI Working Paper, 1997).
- [PIEN knowledge box: Integrity systems](#)

Local Government Cases - George Guess

- Guess, George. [Reducing Local Government Corruption by Improved Fiscal and Service Information](#). Prepared for the 4th Global Forum on Fighting Corruption, Brasilia, Brazil, June 7-10, 2005. [Table 1](#), [Table 2](#)
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3. INTERNATIONAL LEGAL INSTRUMENTS AND APPROACHES

International Standards and Conventions; Legal Assistance, Asset Repatriation, Effective International Investigations - Mark Pieth

- Pieth, Mark. [Multi-stakeholder Initiatives to Combat Money Laundering and Bribery](#).
- Aiolfi, Gemma and Mark Pieth. [How to Make a Convention Work](#): The OECD Recommendation and Convention on Bribery as an Example of a New Horizon in International Law. In: Fijnaut/Huberts (eds.): *Corruption, Integrity and Law Enforcement*. The Hague et. al. 2002, p.349 ff
- Pieth, Mark. [From Ideal to Reality: Making the New Global Standard Stick](#). In: *OECD, No Longer Business as Usual, Fighting Bribery and Corruption*, Paris, 2000., Chapter 3.
- Pieth, Mark and Gemma Aiolfi. [The Private Sector becomes active: The Wolfsberg Process](#), in: *A Practitioner's Guide to International Money Laundering Law and Regulation*, City & Financial Ltd., London 2003, p. 267 ff. **and** *Journal of Financial Crime* Volume 10/Number 4, April 2003, p. 359 ff.

RECOMMENDED READING:

- Pieth, Mark and Gemma Aiolfi (ed.), *A Comparative Guide to Anti-Money Laundering, A Critical Analysis of Systems in Singapore, Switzerland, the UK and the USA*. Study by the Basel Institute on Governance, commissioned by the Stiftung Finanzplatz Schweiz, Edward Elgar Publishers Cheltenham (UK) and Northampton (USA) 2004.

RECOMMENDED SOURCES:

- <http://www.wolfsberg-principles.com/>
 - <http://www.pieth.ch>
-

4. ETHNOGRAPHIC READINGS

Francophone Africa - Giorgio Blundo

- Blundo, Giorgio and J.P. Olivier de Sardan. [Everyday Corruption in West Africa](#). In: Blundo & de Sardan (eds.): *The State and Everyday Corruption in Africa*. London: Zed Books. Forthcoming end 2005.

RECOMMENDED READINGS:

- Blundo, Giorgio. 2004. [Describing the Hidden. Around the case of corruption](#). Paper presented at the conference Law, Plural Society and Legal Pluralism Panel 'Corruption and States of Illegality', Fredericton, August 26-29, 2004

- Blundo, Giorgio and J.P. Olivier de Sardan. [The Popular Semiology of Corruption](#). In: Blundo & de Sardan (eds.): *The State and Everyday Corruption in Africa*. London: Zed Books. Forthcoming end 2005.
- [Selected bibliography on corruption in Africa](#)

India – Ornit Shani

- Gupta, Akhil. [Blurred Boundaries: The Discourse of Corruption, the Culture of Politics, and the Imagined State](#). *American Ethnologist*, Vol. 22, No.2 (May, 1995), 375-402.

Russia and Central, Eastern Europe - Ivan Krastev

- Krastev, Ivan. 2004. *Shifting Obsessions: Three Essays on Politics of Anti-corruption*. Budapest: CEU Press.

a) [The Missing Incentive: Corruption, Anticorruption, and Reelection](#)

[Figure 8.1](#)

[Figure 8.2](#)

[Figure 8.3](#)

[Figure 8.4](#)

[Figure 8.5](#)

[Figure Captions](#)

b) [Corruption, Anti-Corruption Sentiments and the Rule of Law](#)

c) [When 'Should' Does Not Imply 'Can'. The Making of the Washington Consensus on Corruption](#)

RECOMMENDED READINGS

- De Sardan, Olivier J. P., 1999, *A Moral Economy of Corruption in Africa?* in *The Journal of Modern African Studies*, 37, 1(1999), pp.25-52, Cambridge University Press
- Scott, James, 1972, *Corruption as Influence* in "Comparative Political Corruption", 1972, p. 20-36, Prentice Hall, New Jersey
- Huntington, Samuel P., 1968, *Modernization and Corruption* in "Political Order in Changing Societies", 1968, p. 59-71, Count, Yale University Press, New Heaven
- Ackerman, Susan-Rose, 1999, *Political Economy of Anti-Corruption Reforms* in Susan Rose Ackerman, *Corruption and Government*, 1999, p. 199-223, Cambridge University Press
- Anechiarico, F., Jacobs, James B., 1996, *The Evolution of Corruption and the Evolution of Anti-Corruption Project* in *The Pursuit of Absolute Integrity*, 1996, The University of Chicago Press

5. MEASUREMENT IN THE CONTEXT OF REFORM

Macro and Micro Measures of Corruption, Measuring and Monitoring Reform – Fredrik Galtung

- Galtung, Fredrik. [Measuring the Immeasurable: Boundaries and Functions of \(Macro\) Corruption Indices](#). In: Fredrik Galtung and Charles Sampford (eds): *Measuring Corruption*, Ashgate (UK), 2005 forthcoming.

6. EXPERIENCES AND PERSPECTIVES FROM THE PRIVATE SECTOR

Business Ethics & the Global Corporation, Implementing Standards, Cases: Success and Failures - Wesley Cragg

- Cragg, Wesley: [Introduction](#)
 - Cragg, Wesley (ed). **Ethics Codes, Corporations and the Challenge of Globalization.** Edward Elgar, 2005.
- Chapter 1. [Ethics, Globalization and the Phenomenon of Self Regulation: An Introduction](#)
- Chapter 2. [Ethics Law and Corporate Self-Regulation](#)
- Chapter 5. [The US Foreign Corrupt Practices Act: The Role of Ethics, Law and Self-Regulation in Global Markets](#)
- Final Chapter. [Ethics Codes: The Regulatory Norms of a Globalized Society?](#)
- Siklos, Richard. [Parade of executives marches on.](#) Report on Business Column. United States: Corporate Malfeasance. 20 June 2005.
 - Bloom, Richard. [Michelin Man has tough road to fix brand.](#) Report on Business: International. 21 June 2005.

RECOMMENDED READINGS:

- Cragg, Wesley. [Business, Globalization and the Logic and Ethics of Corruption.](#) In: Ethics and Capitalism, Ed. John Douglas Bishop, Toronto: University of Toronto Press, 2000, pp. 122-141.
- [A Compendium of Ethics Codes and Instruments of Corporate Responsibility.](#)

7. TOOLS

Effective Budget Monitoring - Warren Krafchik

- Krafchik, Warren. (2003) [Can civil society add value to public budgeting?](#) International Budget Project, Washington DC.
- Krafchik, Warren. (2004). *What role could civil society and parliament play in strengthening the external audit function?* International Budget Project, Washington DC. Available on line: <http://www.internationalbudget.org/auditorgeneral.htm>
- Shapiro, Isaac. (ed) (2001) [A Guide to Budget Work for NGOs](#) Published by the International Budget Project, Washington DC.
- Examples of civil society budget-focused work:
Uganda Debt Network <http://www.udn.or.ug/>
Institute for Democracy in South Africa - Budget Information Service <http://www.ldasa.org.za/bis>
Institute for Public Finance in Croatia <http://www.ijf.hr/eng>
Public Affairs Center in India <http://www.pacindia.org/>

RECOMMENDED READINGS:

- Shultz, Jim. (ed) (2004) "Follow the Money: A guide to Monitoring Budgets and Oil and Gas Revenues" Published by Revenue Watch at OSI, Central European University and International Budget Project. Available on-line: from: <http://www.revenuewatch.org/reports/120204.shtml>
- Budlender, Debbie; Elson, Diane, Hewitt, Guy and Mukhopadhyay, Tanni. (2002). "Gender Budgets Make Cents". Commonwealth Secretariat, London. Available online: <http://www.internationalbudget.org/resources/library/GBMC.pdf>
- Fundar and International Budget Project. (2004). "Dignity Counts: A Guide to using budget analysis to advance human rights". Published by Fundar, International Budget Project and International Human Rights Internship Project. Available on-line at: http://www.iie.org/IHRIP/Dignity_Counts.pdf
- Ablo, Emmanuel and Reinikka, Ritva. (1998). "Do Budgets Really Matter?: Evidence from Public Spending on Education and Health in Uganda" World Bank Policy Research Working Paper No. 1926. The World Bank. Washington DC.
- Wampler, Brian. (2000) "A Guide to Participatory Budgeting" International Budget Project. Available on line: <http://www.internationalbudget.org/resources/library/GPB.pdf>

Using the Right to Information – *Helen Darbishire*

Whistleblowers and Red Flags – *Fredrik Galtung*

- Pope, Jeremy (ed). [Giving Citizens a Voice](#). In: "Confronting Corruption: The Elements of National Integrity System" Transparency International, 2000.

8. PUBLIC SECTOR ETHICS AND ORGANIZATIONAL INTEGRITY

Ethics in the Context of Reform, Building an Ethics Regime, Training for Ethics -
Howard Whitton

- Mungiu-Pippidi, Alina. 2002-03. [Culture of Corruption or Accountability Deficit?](#) East European Constitutional Review. Fall 2002/Winter 2003. P 80-
- Michael, Bryane. [The Rise and Fall of the Anti-Corruption Industry: Toward Second Generation Anti-Corruption Reforms in Central and Eastern Europe?](#)
- [Managing Conflict of Interest in the Public Sector: A Toolkit](#)

9. STRATEGIC PUBLIC MANAGEMENT

Strategic Public Management, Principles of Risk Management, Overcoming Impediments to Reform – *Josip Kregar*

- [Steps Towards Implementing an Anticorruption Strategy](#)

Restoring the Social Contract – *Fredrik Galtung*

OTHER RECOMMENDED READINGS

- Reinikka, Ritva and Jakob Svensson. [*Survey Techniques to Measure and Explain Corruption.*](#)
- Reinikka, Ritva and Nathanael Smith. [*Public expenditure tracking surveys in education.*](#) International Institute for Educational Planning. UNESCO.
- Roy, Aruna and Nikhil Dey. [*Fighting for the Right to Know in India.*](#)
- Seligson, Mitchell A. [*Corruption and Democratization in Latin America.*](#)